# Scheduling Algorithms for 5G Networks with Mid-haul Capacity Constraints

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- Introduction

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We consider a scheduling problem for efficiently integrating a 5G backhaul with the front-haul (RUs).



#### A vRAN architecture

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# Technical Background

- vRAN Architecture: UE scheduling and part of baseband processing are done at Central Units (CU) located at the edge cloud
- This *split-processing* architecture reduces computational overhead on the remote units (RRH)

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# **Technical Background**

- vRAN Architecture: UE scheduling and part of baseband processing are done at Central Units (CU) located at the edge cloud
- This *split-processing* architecture reduces computational overhead on the remote units (RRH)
- The scheduled data is transported
  - First, from the CU to RUs via a Passive Optical Network PON
  - ② Then, the data is *immediately* transmitted over the air at the same slot
- In particular, no queueing takes places at RUs, which improves the latency.

# The Scheduling Problem with PON capacity constraint



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Figure courtesy: Medium Technology

# The Scheduling Problem with PON capacity constraint



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Figure courtesy: Medium Technology

# The Scheduling Problem with PON capacity constraint



Problem: How to efficiently schedule data to the UEs with <u>time-varying</u> wireless channels through a fixed-capacity PON?

Figure courtesy: Medium Technology

#### Results

# Our results

#### Limitation of the State-of-the-art

• We show that the well-known Proportional Fair scheduler is **not optimal** in this architecture

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#### Our contributions - Single Cell

- Polynomial-time LP-based algorithm with a guaranteed 2-approximation
- Pseudo polynomial-time Optimal scheduling using Dynamic Programming

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#### Our contributions- Multi Cell

• A Matroid-based greedy 2-approximation algorithm

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#### Our contributions- Multi Cell

A Matroid-based greedy 2-approximation algorithm

#### Main Challenge

• Scalable solution to a hard combinatorial packing problem.

#### Disruption

• Simulation shows that the proposed algorithm achieves > 2X gain over the PF scheduler.

System Model

# System Model



System Model

# Service Constraints and Long-term objective

- Each RU can transmit over k RBs
- ② A RU can allocate a RB to at most one UE per slot.
- The channel rates differ across the RUs and RBs. The maximum air-interface rate for the j<sup>th</sup> UE of the i<sup>th</sup> UE for the k<sup>th</sup> RB at time t is y<sub>iik</sub>(t).
- The aggregate service rate allocated to all users at a given time-slot is limited by the PON capacity *C*.

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- The aggregate service rate allocated to all users at a given time-slot is limited by the PON capacity C.

Long-Term objective: Design a scheduling policy to maximize sum-log utility of the users:

$$\max \sum_{ij} \log(\bar{r}_{ij})$$

where,  $\bar{r}_{ij} = \liminf_{T \to \infty} \frac{1}{T} \sum_{t=1}^{T} y_{ij}(t)$  are the long-term rates.

# Slot-by-Slot Optimization

• Using the gradient-based scheduling algorithm by *Stolyar (2005)*, the long-term objective reduces to the following slot-by-slot optimization problem.

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# Slot-by-Slot Optimization

• Using the gradient-based scheduling algorithm by *Stolyar (2005)*, the long-term objective reduces to the following slot-by-slot optimization problem.

#### **Decision Variables:**

- Let the binary variable  $x_{ijk}(t) \in \{0, 1\}$  denote whether the  $k^{\text{th}}$  RB is allocated to the  $j^{\text{th}}$  UE of the  $i^{\text{th}}$  RU.
- Let the non-negative real variable  $y_{ijk}(t)$  denote the corresponding allocated rate.

The exponentially-weighted average rate  $R_{ij}(t)$  is computed for the UE (i, j) as follows

$$\mathsf{R}_{ij}(t+1) = (1-\beta)\mathsf{R}_{ij}(t) + \beta \underbrace{\sum_{k} y_{ijk}(t)}_{current \ rate},$$

for some fixed small parameter  $\beta > 0$ .

# Mixed Integer Linear Program (MILP) formulation

Problem: Single Shot

$$\max_{\boldsymbol{x}(t),\boldsymbol{y}(t)} \quad \sum_{i,j} \frac{\sum_{k} y_{ijk}(t)}{R_{ij}(t)}$$

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Subject to,

 $\sum_{i} x_{ijk}(t) \leq 1$  (at most one UE per RB)

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Subject to,

 $\sum_{j} x_{ijk}(t) \leq 1 \qquad (at most one UE per RB)$  $y_{ijk}(t) \leq \gamma_{ijk}(t) x_{ijk}(t) \qquad (instantaneous air-interface rate constraint per RB)$ 

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# Mixed Integer Linear Program (MILP) formulation

Problem: Single Shot

$$\max_{\boldsymbol{x}(t),\boldsymbol{y}(t)} \quad \sum_{i,j} \frac{\sum_{k} y_{ijk}(t)}{R_{ij}(t)}$$

Subject to,

$$\begin{split} \sum_{j} x_{ijk}(t) &\leq 1 & (\text{at most one UE per RB}) \\ y_{ijk}(t) &\leq \gamma_{ijk}(t) x_{ijk}(t) & (\text{instantaneous air-interface rate constraint per RB}) \\ \sum_{i,j,k} y_{ijk}(t) &\leq C & (\text{PON capacity constraint}) \\ \sum_{j,k} y_{ijk}(t) &\leq C_i, & (\text{RU-specific capacity constraints (for multi cell)}) \\ & \underbrace{x_{ijk}(t)}_{binary} &\in \{0,1\}, \quad \underbrace{y_{ijk}(t)}_{continuous} \geq 0. \end{split}$$

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# Structural Results - Single Cell

For the Single Cell problem, there is no RU-specific capacity constraint (*i.e.*,  $C_i = \infty$ ,  $\forall i$ ) and the problem is equivalent to a single RU. Hence, we drop the index *i* in this section.

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Definition (Almost Discrete (AD) Allocation)

A feasible rate-allocation vector  $(\mathbf{x}(t), \mathbf{y}(t))$  is called Almost Discrete if  $y_{jk}(t) = \gamma_{jk}(t)x_{jk}(t)$  for all but (at most) one RB.

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A feasible rate-allocation vector  $(\mathbf{x}(t), \mathbf{y}(t))$  is called Almost Discrete if  $y_{jk}(t) = \gamma_{jk}(t)x_{jk}(t)$  for all but (at most) one RB.

#### Theorem (Optimality of AD)

There exists an optimal solution to SINGLE SHOT which is ALMOST DISCRETE.

- We present two different proofs of this theorem in the paper.
- The first one is constructive and algorithmic
- The second one utilizes combinatorial properties of a resulting LP.

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Algorithms

### MILP to LP Relaxation

- The previous theorem proves that the constraint  $y_{jk}(t) \le \gamma_{jk}(t)x_{jk}(t)$  is tight in almost all RBs.
- Hence, it is natural to consider the following LP relaxation by  $y_{ik}(t) \leftarrow \gamma_{ik} x_{ik}(t)$ :



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Problem: RLP

$$\max_{\mathbf{x}(t)} \sum_{jk} x_{jk}(t) \frac{\eta_{k}(t)}{R_{j}(t)}$$

 $\gamma u(t)$ 

$$egin{array}{rcl} \sum\limits_{j} x_{jk}(t) &\leq & 1, \ orall k \ & \sum\limits_{jk} \gamma_{jk} x_{jk} &\leq & \mathcal{C}, \ & \mathbf{x} &\geq & \mathbf{0}. \end{array}$$

Clearly, the solution to RLP will be a good approximation to Single Shot if RLP also has the AD property (*i.e.*, mostly 0-1 solutions).

# Solution Structure of RLP

### Theorem (RLP has the AD property)

An optimal solution to RLP allocates every RB to at most one UE, excepting, at most one RB, which is shared between two UEs.

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The proof of this theorem crucially utilizes the properties of the Basic Feasible Solutions.

# Solution Structure of RLP

#### Theorem (RLP has the AD property)

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The proof of this theorem crucially utilizes the properties of the Basic Feasible Solutions.

The above theorem suggests the following policy which we prove to be 2-optimal.

Algorithm 2 LP-based 2-Approximation Algorithm for SINGLE SHOT

1: Find the maximum possible objective value obtainable by using a single RB, i.e.,

$$F_{\max} = \max_{j,k} \frac{1}{R_j} \min\{\gamma_{jk}, C\}.$$

- 2: Solve the Linear Program RLP. Let I be the objective value obtained by the standalone RBs (*i.e.*, for which  $x_{jk} = 1$  for some j) in its optimal solution.
- 3: Choose the solution corresponding to the maximum of I and  $F_{max}$ .

### Structural results - Multi-Cell

In the Multi-Cell case, the RU-specific capacity constraints C<sub>i</sub> are active.

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Let  $\mathcal{I}$  be the set of all feasible RB assignments, E be the ground set.

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#### Lemma

The system  $(E, \mathcal{I})$  is a PARTITION MATROID.

### Structural results - Multi-Cell

In the Multi-Cell case, the RU-specific capacity constraints  $C_i$  are active.

Let  $\mathcal{I}$  be the set of all feasible RB assignments, E be the ground set.

#### Lemma

The system  $(E, \mathcal{I})$  is a PARTITION MATROID.

Let  $f : \mathcal{I} \to \mathbb{R}_+$  be the optimal objective function for a given RB assignment. Note that  $f(\cdot)$  can be evaluated efficiently by solving an LP.

#### Lemma

The set function  $f(\cdot)$  is submodular.

By the well-known *Fisher-Nemhauser-Wolsey* (1978) paper, the above two properties readily shows that a greedy algorithm is within a factor of 2 of the optimal.

# 2-approximation Algorithm for SINGLE SHOT

Algorithm 3 Greedy Algorithm for SINGLE SHOT (Multi-Cell)

- 1:  $\mathbf{S} \leftarrow \phi$
- 2: while 1 do
- 3: Find a feasible augmentation  $\bar{S} \in \mathcal{I}$  of S that maximizes  $f(\bar{S})$  subject to the constraint  $|\bar{S} \setminus S| = 1$ .

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- 4: if  $f(\bar{S}) = f(S)$  then
- 5: break
- 6: **else**
- 7:  $S \leftarrow \bar{S}$
- 8: end if
- 9: end while

### Simulation Results-I

### $\rm Setup:~1~km^2$ area, 1000 users distributed according to PPP, 100 cells, 20 MHz BW.



Simulations

### Simulation Results-II



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- Conclusion

### Conclusion and Future Works

- We considered the problem of downlink vRAN scheduling with mid-haul constraints.
- We have proposed an LP-based (2-approx.), a DP based (pseudo-poly, optimal) algorithms for single cell
- We have also proposed a matroid-based 2-approx. algorithm for multi-cell
- Our model assumed that there is no inter-cell interference (due to CoMP). We will be extending our methodologies when this assumption does not hold.
- $\bullet\,$  In future, we are looking forward in implementing these algorithms in our 5G-test bed