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# Fundamental Limits of Age-of-Information in Stationary and Non- stationary Environments

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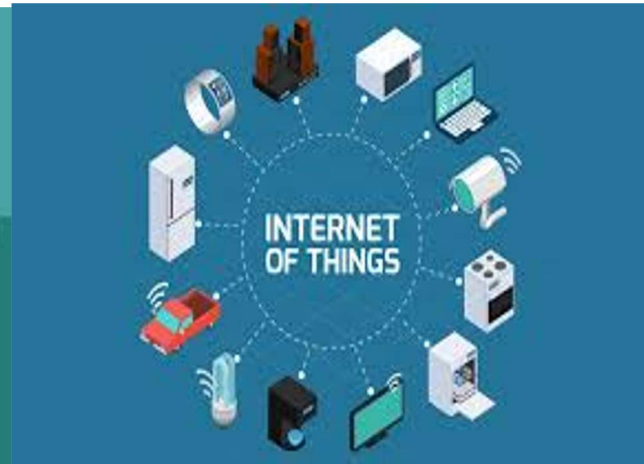
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# Age of Information: a metric for QoE

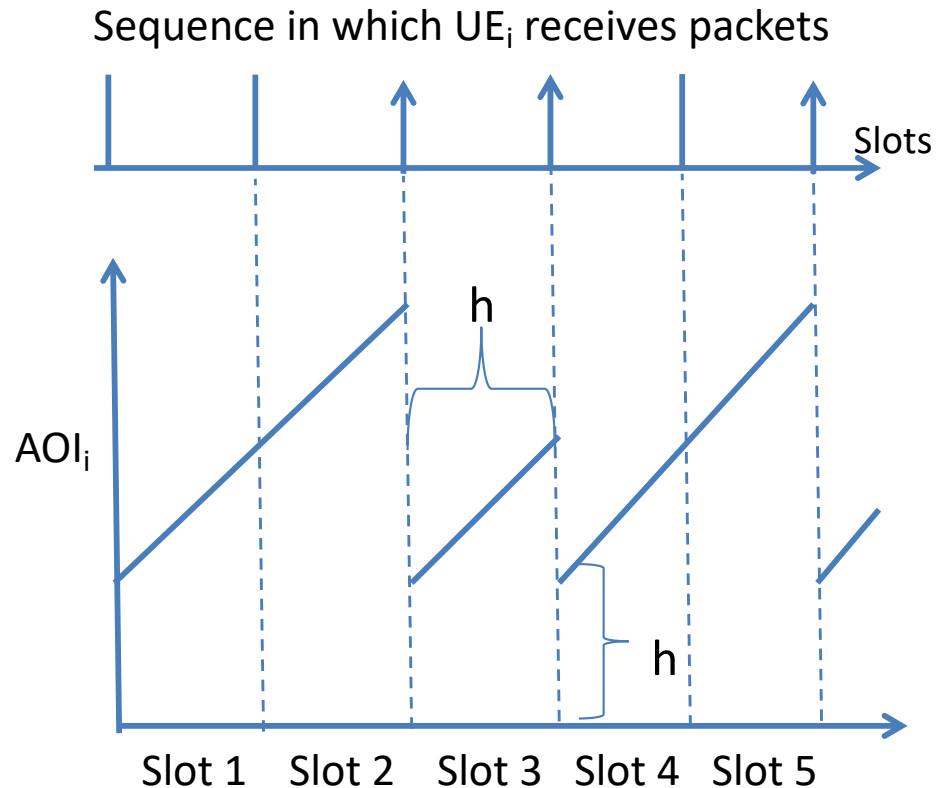
- The **Quality of Experience (QoE)** for the users plays a major role in today's network design:
  - real-time AR and VR systems
  - Internet of Things (IoT)
- **Age-of-Information (AoI)** is a newly proposed metric to measure the *freshness* of information available to the end-users





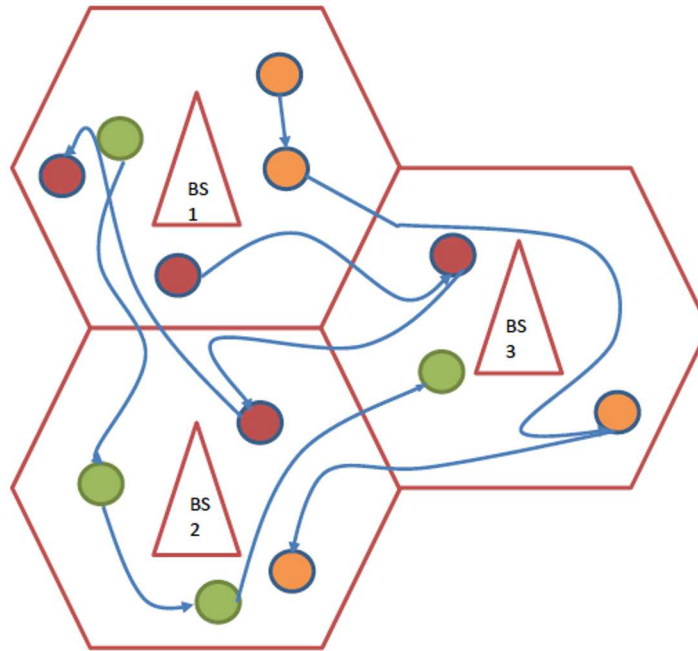
# Age-of-Information

- AoI of the  $i^{\text{th}}$  user at time  $t$ : **last time before  $t$**  at which the  $i^{\text{th}}$  user received a packet successfully from the Base Station.
- $h_i(t) = t - t_i(t)$





# Scenario I – Stationary environment



- $N$  UE's move around **independently** in an area with  $M$  Base Stations.
- Time is **slotted**
- Channel from  $UE_i$  to any BS is a Binary Erasure Channel (BEC) with success probability  $p_i$
- At **every** slot, each BS **beamforms** and schedules a packet **transmission** to one of the UEs in its coverage area



# Performance Metric

- Minimize Long-term **average AoI** of users

$$\text{AoI}^* = \inf_{\pi \in \Pi} \limsup_{T \rightarrow \infty} \frac{1}{T} \sum_{t=1}^T \frac{1}{N} \left( \sum_{i=1}^N \mathbb{E}^{\pi} (h_i(t)) \right)$$

- An average-cost MDP with a countably infinite state space
- Design efficient policies with a **guaranteed approximation ratio**



# Lower Bound on the Optimal AoI (Converse)

- **Theorem 1:** Under any scheduling policy, AoI is lower bounded as:

$$\text{AoI}^* \geq \frac{1}{2Ng(\psi)} \left( \sum_{i=1}^N \sqrt{\frac{1}{p_i}} \right)^2 + \frac{1}{2}$$

- $g(\psi)$  is the expected number of cells with **at least one UE**
- $g(\psi)$  captures the *multi-user diversity* of the system
  - Under uniform mobility, we have a closed form expression:

$$g(\psi) = \sum_{j=1}^M (1 - \prod_{i=1}^N (1 - \psi_{ij}))$$

- Since  $g(\psi) \leq \min\{M, N\}$  we have:

$$\text{AoI}^* \geq \frac{1}{2N \min\{M, N\}} \left( \sum_{i=1}^N \sqrt{\frac{1}{p_i}} \right)^2 + \frac{1}{2}$$



# Achievability

- $\pi^{\text{MMW}}$  (**Multi-cell Max-Weight policy**): At every slot, each BS schedules a UE under its coverage that has the **highest index** among all other UEs, where the index is defined as

$$I_i(t) \equiv p_i h_i^2(t)$$

- **Theorem 2:**  $\pi^{\text{MMW}}$  is a **2-approximation scheduling** policy for statistically identical UEs with **i.i.d. uniform mobility**

- The proof proceeds by constructing a linear **Lyapunov function** of the AoI's:

$$L(\mathbf{h}(t)) = \sum_{i=1}^N \frac{h_i(t)}{\sqrt{p_i}}$$

- Then upper bound the value of the **conditional drift** for MMW policy:

$$\mathbb{E}(L(\mathbf{h}(t+1)) - L(\mathbf{h}(t)) | \mathbf{h}(t), \mu(t), \mathbf{C}(t))$$

- Finally, we use the converse result to show  $\text{AoI}^{\text{MMW}} \leq 2\text{AoI}^*$

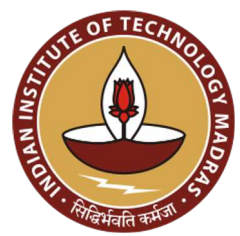


## Scenario II –Non-stationary environment

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- We consider an **adversarial framework** for modeling the non-stationary environment
- $N$  UEs are under the coverage of a single BS
  - For UEs with mobility, please refer to our recent paper “**Competitive Algorithms for minimizing the Maximum Age-of-Information**”, R. Bhattacharjee, A. Sinha, **MAMA 2020, Boston, MA**, (<https://arxiv.org/abs/2005.05873>).
- The **channel state** of any  $UE_i$  at any time slot  $t$  could be in either Good or Bad states.
  - If the BS schedules a packet to a channel in a **good state** at that slot, packet is transmitted **successfully**
- The channel states are chosen by the **omniscient adversary** at every time slot  $t$
- Online scheduling policy has **no information** on the channel states for the **current or future slots**





# Performance Metric

- **Cost function for a  $T$ -length horizon** :  $\text{AoI} (T) = N^{-1} \sum_{t=1}^T \left( \sum_{i=1}^N h_i(t) \right)$
- $\sigma \in \{\{0, 1\}^N\}^T$  is a sequence of length  $T$  representing the **vector of channel states** chosen by the **adversary**
- **Competitive ratio** of any online policy  $\mathcal{A}$  is defined as:

$$\eta^{\mathcal{A}} = \sup_{\sigma} \left( \frac{\text{Cost of the online policy } \mathcal{A} \text{ on } \sigma}{\text{Cost of OPT on } \sigma} \right)$$

- The offline optimal policy **OPT** is assumed to be equipped with **full knowledge** on the entire channel-state sequence
- Our objective is to design a policy with a **small competitive ratio**



# Achievability and Converse

- **Max-Age policy (MA):** MA schedules the user having the **highest age**
  - i.e., UE scheduled at time  $t$ :  $\arg \max_i h_i(t)$

- **Theorem (Achievability):** In the adversarial setting with  $N$  users, the MA policy is  $\eta = O(N^2)$  **competitive** for minimizing the average AoI.
  - The first policy with a provably finite competitive ratio for AoI

- Using **Yao's minimax principle**, we also have the following lower bound

- **Theorem (Converse):** For any online policy, the competitive ratio is lower bounded as

$$\eta \geq \frac{N}{2} + \frac{1}{2N}$$

- Hence, MA is competitively optimal up to a factor of  $O(N)$  for average age. In a recent paper, we show that MA is competitively optimal up to a factor of  $O(\log N)$  for the max-age metric.



# Proof outline for Achievability

- Let the MA policy have a total of **K successful transmissions**
- Divide the divide the time horizon into **K successive intervals**, with the  $i^{\text{th}}$  interval denoting the time between  $i-1^{\text{th}}$  and  $i^{\text{th}}$  successful transmissions:

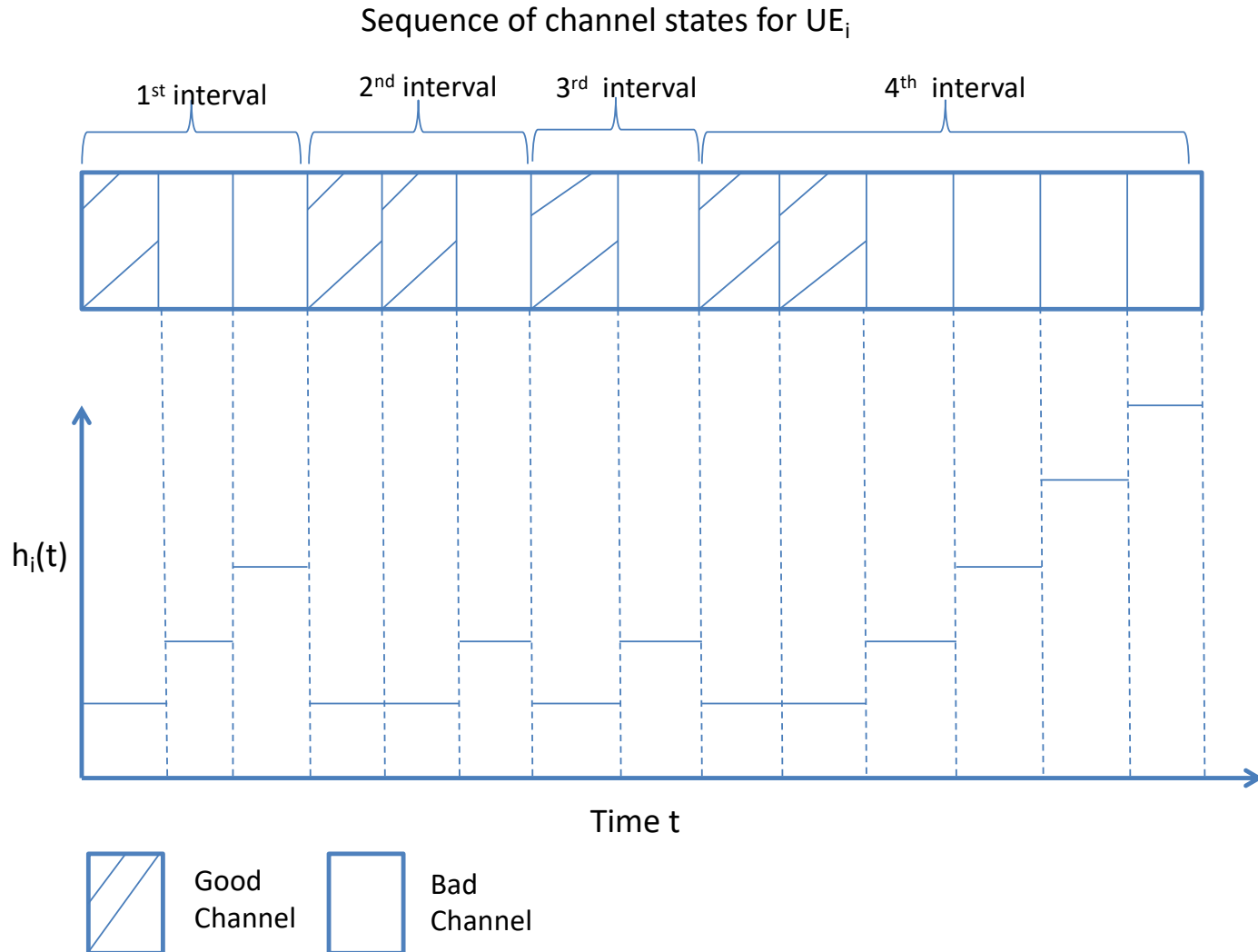
$$\Delta_i \equiv T_i - T_{i-1}$$

- **Observation 1:** At a slot, if MA policy transmits successfully  $\Rightarrow$  the optimal policy **OPT also transmits** successfully
- **Observation 2:** MA policy is a **persistent round robin policy** i.e. it keeps on scheduling the Max-age user until its transmission is successful
- At the end of  **$k^{\text{th}}$  slot** of the  **$i^{\text{th}}$  interval**, the (**sorted**) ages of UE's under MA is given by :

$$\{k, k + \Delta_{i-1}, k + \Delta_{i-1} + \Delta_{i-2}, \dots, k + \sum_{j=1}^{N-1} \Delta_{i-j}, 1 \leq k \leq \Delta_i.\}$$



# Illustration of the “Intervals”



An illustration of the **intervals**. We upper bound the cost incurred by MA and lower bound the cost incurred by OPT in the intervals. Details in the paper.



# Proof outline of Achievability

- **Lower bound** of the cost incurred by OPT in the  $i^{\text{th}}$  interval:

$$C_i^{\text{OPT}} \geq (N-1) \sum_{k=1}^{\Delta_i} 1 + \sum_{k=1}^{\Delta_i} (1+k)$$

- **Upper bound** of the cost incurred by MA in the  $i^{\text{th}}$  interval:

$$C_i^{\text{MA}} = \sum_{k=1}^{\Delta_i} k + \sum_{k=1}^{\Delta_i} \sum_{m=1}^{N-1} \left( k + \left( \sum_{j=1}^m \Delta_{i-j} \right) \right) \leq \frac{N}{2} \left( N\Delta_i^2 + \Delta_i + \sum_{j=1}^{N-1} \Delta_{i-j}^2 \right)$$

- **Finally, sum over the costs over all intervals to upper bound the competitive ratio:**

$$\eta^{\text{MA}} = \frac{\sum_{i=1}^K C_i^{\text{MA}}}{\sum_{i=1}^K C_i^{\text{OPT}}} \leq 2N^2$$



# Proof outline for the Converse

- Consider the following distribution  $p$  of the channel states for applying **Yao's principle**:
  - At every slot  $t$ , a UE is chosen **independently and uniformly** at random, and assigned a **Good** channel.
  - The rest of the UEs are assigned **Bad** channels
- Under  $p$ , only **one** channel is in **Good** state at every slot.
- It can be seen that the sequence of age variables constitute a **Renewal Process** for each user.
- A generic renewal interval for any user consists of two parts:
  - A consecutive sequence of **Good** channels of length  $\tau_G$  (**Geometric R.V.**)
  - A consecutive sequence of **Bad** channels of length  $\tau_B$  (**Geometric R.V.**)

$$\mathbb{P}(\tau_G = k) = q^{k-1}(1 - q), \quad k \geq 1.$$

$$\mathbb{P}(\tau_B = k) = q(1 - q)^{k-1}, \quad k \geq 1.$$



## Proof of Converse contd.

- The **cost** incurred by OPT for the  $i^{\text{th}}$  user in any renewal interval is given by:

$$c_i(\tau) = c_i(\tau_G) + c_i(\tau_B) = \sum_{t=1}^{\tau_G} 1 + \sum_{t=1}^{\tau_B} (1+t) = \tau_G + \frac{3}{2}\tau_B + \frac{1}{2}\tau_B^2.$$

- Using the **Renewal Reward Theorem**, the time-averaged expected cost of the  $i^{\text{th}}$  user is:

$$\lim_{T \rightarrow \infty} \frac{\mathbb{E}(C_i(T))}{T} = \frac{\mathbb{E}(c_i(\tau))}{\mathbb{E}(\tau)} = \frac{1}{q} = N, \quad \forall i$$

- Thus, the time-averaged expected **cost incurred by OPT**  $\bar{c}(\text{OPT}) = N^2$
- Lower bound of the time-averaged expected cost** for any online scheduling policy: use previous (stochastic) **converse result** by taking

$$p_i = 1/N, \forall i$$

- Dividing the lower bound of cost of any online policy by upper bound of OPT's cost and using **Yao's minimax principle**, we have the lower bound

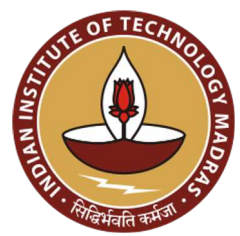


# AoI minimization with Channel Predictions

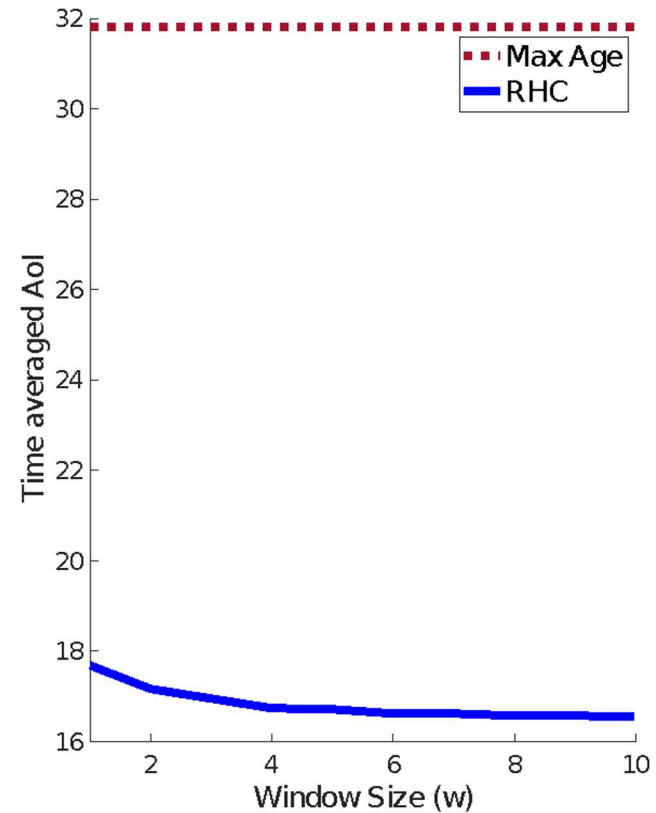
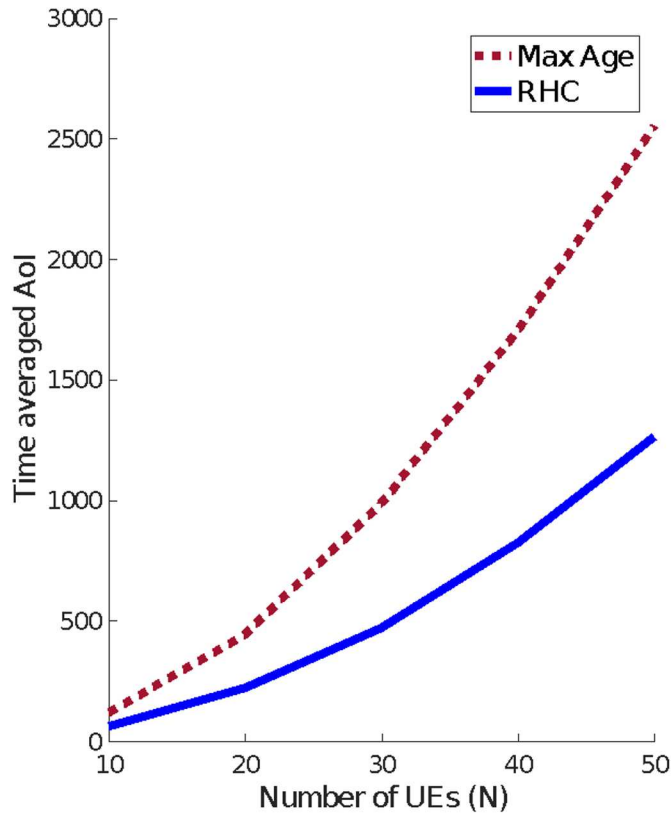
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- Under the adversarial channel model, any online scheduling policy has a worst-case **competitive ratio growing at least linearly** with the number of users
- We now exploit the fact that **wireless channels with block-fading** may often be estimated quite accurately for a few subsequent future slots
- **Relaxed adversarial model:** At any slot  $t$ , the BS can estimate the channels **perfectly** for a window of the **next  $w \geq 0$  slots**.
- $w$  is a **parameter** that depends on coherence time of the channel
  - $w=0$  corresponds to the adversarial model discussed previously
- Policy: **Receding Horizon Control (RHC)** – solves a Dynamic Program with the horizon set to the next  $w$  slots.





# Numerical Simulations



- We conclude that even a limited channel estimation capability **has a great RoI for minimizing the AoI!**



# Summary

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- **Stationary Channel Model with mobile users:** We obtain a **2-optimal** scheduling policy for statistically identical UEs with i.i.d. uniform mobility
- **Non-stationary Channel:** We have proposed a new adversarial model for the non-stationary environments
- **Achievability:** We show **Max Age policy is  $O(N^2)$ -competitive** in the adversarial setting
- **Converse:** We show that the **lower bound** on competitive ratio in the adversarial grows **linearly in the number of UEs**
  - **Open Problem:** Tighten the gap between the upper and lower bounds
- **Extension:** We have proposed an extension of the adversarial model, taking into account the future channel state estimations
  - **Open Questions:** Variation of the competitive ratio with  $w$  in the relaxed model



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**For any questions/comments, please reach out to me at**



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**Thank you!**